
CONTACT BETWEEN LINEARLY ELASTIC BODIES:
THE SIGNORINI PROBLEM



Universität Augsburg
Institut für Mathematik

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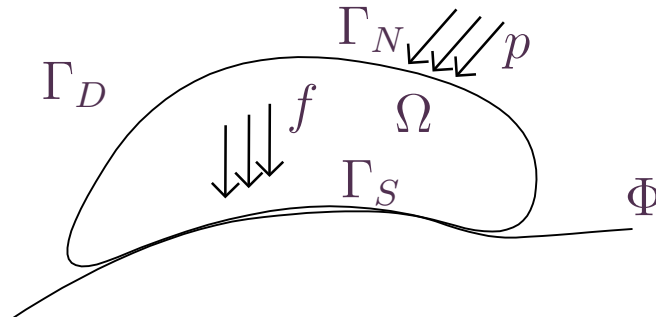
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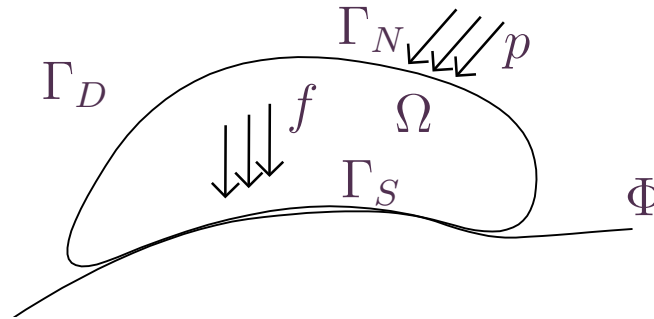
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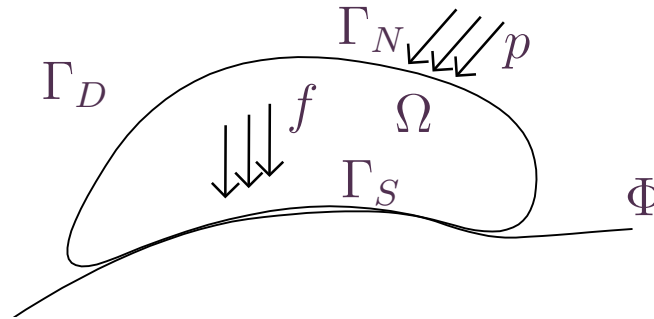
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- Anisotropic, homogeneous, **linearly elastic** body in stationary equilibrium on a **rigid foundation**. No friction, no thermodynamics, no fancy stuff.

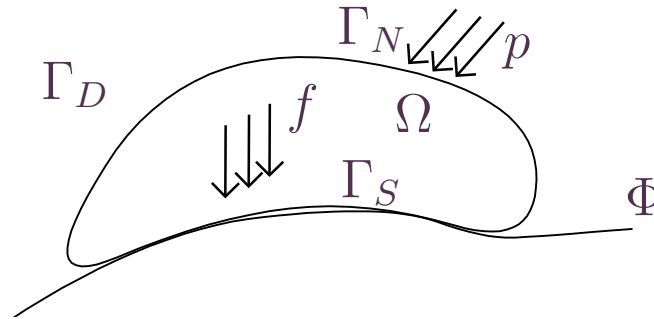
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- Small displacements: $|u| \ll 1, |\nabla u| \ll 1$.

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- **The model**

- Anisotropic, homogeneous, **linearly elastic** body in stationary equilibrium on a **rigid foundation**. No friction, no thermodynamics, no fancy stuff.
- Small displacements: $|u| \ll 1$, $|\nabla u| \ll 1$.
- Stress tensor given by Hooke's law.

$$\sigma_{ij}(u) = a_{ijkl} \varepsilon_{kl}(u) = \frac{1}{2} a_{ijkl} (u_{k,l} + u_{l,k}).$$

- **Linear elasticity with unilateral constraints**

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Stress-free reference configuration: $\Omega \subset \mathbb{R}^3$ open, bounded, with $C^{1,1}$ boundary $\Gamma = \partial\Omega$ split in open smooth subsets $\Gamma_D, \Gamma_N, \Gamma_S$. Γ_D possibly empty.

Given some data f, p, g find the **displacements** u such that

$$\begin{cases} -\operatorname{div} \sigma(u) = f & \text{in } \Omega, \\ u = 0 & \text{on } \Gamma_D, \\ \sigma \nu = p & \text{on } \Gamma_N, \end{cases}$$

and on Γ_S :

$$\begin{cases} u \nu - g \leq 0, \\ (u \nu - g)(\nu \sigma \nu) = 0, \\ \nu \sigma \nu \leq 0 \\ \nu \sigma \tau = 0. \end{cases}$$

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- **Neumann**
- **Dirichlet**
- **Signorini (contact)**

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Traction given on $\Gamma_N \subset \Gamma$.
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Possible incompatibility at the boundary \Rightarrow need for $H_{00}^{1/2}(\Sigma)$.

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Kinematical non-penetration and conditions on normal stresses at $\Gamma_S \subseteq \text{Int}(\Gamma \setminus \Gamma_D)$.

\Rightarrow **Free boundary** problem.

- **Non-penetration**
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g models the **initial gap** between the surfaces.

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- **Stresses at the boundary**

- No friction \Rightarrow no tangential stresses.
- Stresses are normal at contact points.
- There is normal stress *iff* there is contact.
- Together, on Γ_S we have:

$$\nu_i \sigma_{ij} \tau_j = 0$$

$$\begin{cases} u_i \nu_i - g = 0, \\ \sigma_{ij} \nu_i \nu_j < 0, \end{cases} \text{ or } \begin{cases} u_i \nu_i - g < 0, \\ \sigma_{ij} \nu_i \nu_j = 0. \end{cases}$$

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Assume $|\Gamma_D| > 0$.

Let: $\Sigma = \Gamma \setminus \bar{\Gamma}_D$, $f \in \mathbf{L}^2(\Omega)$, $p \in \mathbf{H}^{-1/2}(\Gamma_N)$, $g \in H_{00}^{1/2}(\Sigma)$.

Define: $V := \{v \in \mathbf{H}^1(\Omega) : v = 0 \text{ in } \Gamma_D\}$ and $\gamma_{\Sigma_\nu} : V \rightarrow H_{00}^{1/2}(\Sigma)$ (**normal trace**).

Admissible set: $K := \{v \in V : \gamma_{\Sigma_\nu}(v) \nu - g \leq 0 \text{ on } \Gamma_S \text{ in } H_{00}^{1/2}(\Sigma)\}$.

Energy functional: $I(v) := \frac{1}{2} a(v, v) - F(v)$, $v \in K$.

Find $u \in K$ **such that** $I(u) = \min_{v \in K} I(v)$.

Where

$$a(u, v) := \int_{\Omega} a_{ijkl} \varepsilon_{kl}(u) \varepsilon_{ij}(v) \, dx = \int_{\Omega} \sigma_{ij}(u) \varepsilon_{ij}(v) \, dx, \quad \varepsilon(v) := \frac{1}{2} (\nabla^\top v + \nabla v)$$

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- **Direct method easy**

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- **Direct method easy**

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- **Not so easy.**

Equivalence with the original PDEs. Definition of traces and Green's formulas.

Assume $|\Gamma_D| = 0$.

Let $f \in L^2(\Omega)$, $p \in \mathbf{H}^{-1/2}(\Gamma_N)$, $g \in H^{1/2}(\Gamma)$.

Take now

$$K := \{v \in V : \gamma_{\Gamma_\nu}(v) \nu - g \leq 0 \text{ on } \Gamma_S \text{ in the sense of } H^{1/2}(\Gamma)\}.$$

Find $u \in K$ such that for every $v \in K$:

$$a(u, v - u) \geq F(v - u) \quad (1)$$

Equivalence (thanks to Gâteaux differentiability of the energy)

$$u \text{ is a minimizer} \iff u \text{ solves (1).}$$

(with proper choice of K , etc.).

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Find $u \in K$ such that for every $v \in K$:

$$a(u, v - u) \geq F(v - u) \quad (2)$$

Equivalence (thanks to Gâteaux differentiability of the energy)

$$u \text{ is a minimizer} \iff u \text{ solves (2).}$$

(with proper choice of K , etc.).

Assume $|\Gamma_D| = 0$.

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Find $u \in K$ such that for every $v \in K$:

$$a(u, v - u) \geq F(v - u) \quad (3)$$

Equivalence (thanks to Gâteaux differentiability of the energy)

$$u \text{ is a minimizer} \iff u \text{ solves (3).}$$

(with proper choice of K , etc.).

Assume $|\Gamma_D| = 0$.

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Find $u \in K$ such that for every $v \in K$:

$$a(u, v - u) \geq F(v - u) \tag{4}$$

Equivalence (thanks to Gâteaux differentiability of the energy)

$$u \text{ is a minimizer} \iff u \text{ solves (4).}$$

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Assume $|\Gamma_D| = 0$.

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Find $u \in K$ such that for every $v \in K$:

$$a(u, v - u) \geq F(v - u) \tag{5}$$

Equivalence (thanks to Gâteaux differentiability of the energy)

$$u \text{ is a minimizer} \iff u \text{ solves (5).}$$

(with proper choice of K , etc.).

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Find $u \in K$ such that for every $v \in K$:

$$a(u, v - u) \geq F(v - u) \tag{6}$$

Equivalence (thanks to Gâteaux differentiability of the energy)

$$u \text{ is a minimizer} \iff u \text{ solves (6).}$$

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- Therefore $\ker a(\cdot, \cdot) \stackrel{(!)}{=} \mathcal{R} \subset V$ and the energy is **not coercive**.

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- **(Alternative?) proof idea:** Consider solutions u_ρ in bounded sub-cones $K_\rho = \{v \in K: \|v\| \leq \rho\}$. Prove that there must exist one with $\|u_\rho\| < \rho$ using the compatibility condition.

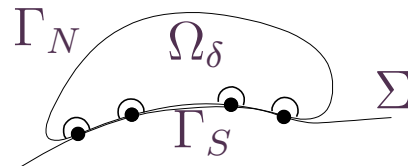
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and the “classical” boundary conditions are fulfilled in $\Gamma_D \cup \Gamma_N \cup \Gamma_S$. In particular for $N = 3$, the solution is in $C^{0,1/2}(\overline{\Omega}_\delta) \cap W^{1,6}(\Omega_\delta)$.

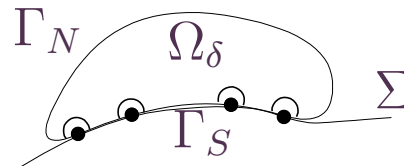


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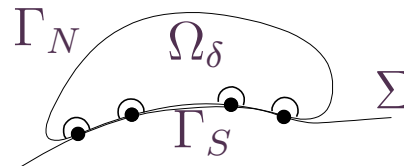
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- **Higher regularity**

(Two bodies) *Boieri, Gastaldi, Kinderlehrer, 1987.*

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- **$u \in V$ solution and Ω of class $C^{1,1}$**

Thanks to $\gamma: V \longrightarrow H_{00}^{1/2}(\Gamma_S)^N$ *surjective*, there exists a *unique* operator

$$\pi: \{\sigma \in L^2(\Omega)_{\text{sym}}^{N \times N} : \operatorname{div} \sigma \in L^2(\Omega)^N\} \longrightarrow H_{00}^{-1/2}(\Gamma_S)^N$$

such that

$$\int_{\Omega} \operatorname{div} \sigma(u) \cdot v + \int_{\Omega} \sigma(u) : \varepsilon(v) = \langle \pi(\sigma(u)), v \rangle_{H_{00}^{-1/2}}$$

and

$$\pi(\sigma(u)) = \sigma(u) \nu$$

for smooth functions.

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- **Planar estimates**

- **The scalar problem**

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Let $g \in H^{1/2}(\Gamma)$, $p \in H^{-1/2}(\Gamma)$, $f \in L^2(\Omega)$ such that

$$F(1) := (f, 1)_{L^2} + \langle p, 1 \rangle_{H^{-1/2}(\Gamma)} < 0.$$

Find $u \in K_g := \{v \in H^1(\Omega) : v \geq g \text{ on } \Gamma\}$ such that

$$a(u, v - u) \geq F(v - u) \text{ for all } v \in K_g$$

where $a(u, v) := (\nabla u, \nabla v)_{L^2}$. Equivalently, find $u \in H^1(\Omega)$ such that

$$\begin{cases} -\Delta u = f & \text{in } \Omega, \\ u \geq g & \text{in } H^{1/2}(\Gamma), \\ \partial_\nu u \geq p & \text{in } H^{-1/2}(\Gamma), \\ (u - g)(\partial_\nu u - p) = 0 & \text{a.e. } \Gamma. \end{cases}$$

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Theorem. (Díaz / d.B.) *Let $\Omega \in \mathbb{R}^N$ with C^3 boundary and let $u \in H^1(\Omega) \cap L^\infty(\Omega)$ solve the scalar problem. Let $\|u\|_\infty \leq M$. Assume there exists a set $\Gamma_\delta \subset \Gamma$ where the following holds:*

$$p - \partial_\nu v_0 \leq -\delta := \frac{-1}{M},$$

where $v_0 \in H^2(\Omega)$ is the solution of the Dirichlet problem

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Comparison principle:

Lemma. *Let $f_1 \leq f_2 \in L^2(\Omega)$ and let $u_1, u_2 \in H^1(\Omega)$ be scalar functions defined on an open, bounded and connected set $\Omega \subset \mathbb{R}^N$ with Lipschitz boundary $\Gamma = \partial\Omega$ such that*

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- **Application**

Build local supersolution using intrinsic distance.

Plan for numerics:

1. Two body problem.
2. Saddle point formulation.
3. Mortar method.
4. Examples.

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- Lagrange multiplier space: $Q := \mathbf{H}_{00}^{-1/2}(\Gamma_S^a)$.
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- Let $v_\nu^t = \gamma_{\Gamma_S^t, \nu}^0(v^t)$. For every $v \in V = V^a \times V^b$, $\mu \in Q$ define the bilinear form

$$b(v, \mu) := \langle \mu_\nu, v_\nu^a \rangle + \langle \mu_\nu, v_\nu^b \rangle.$$

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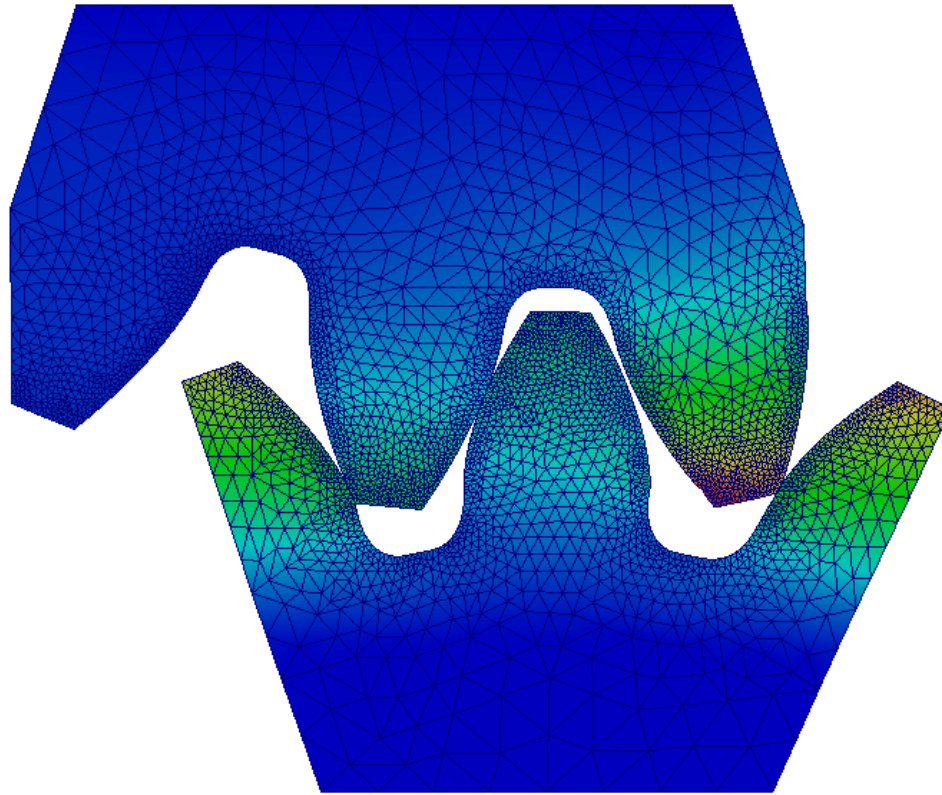
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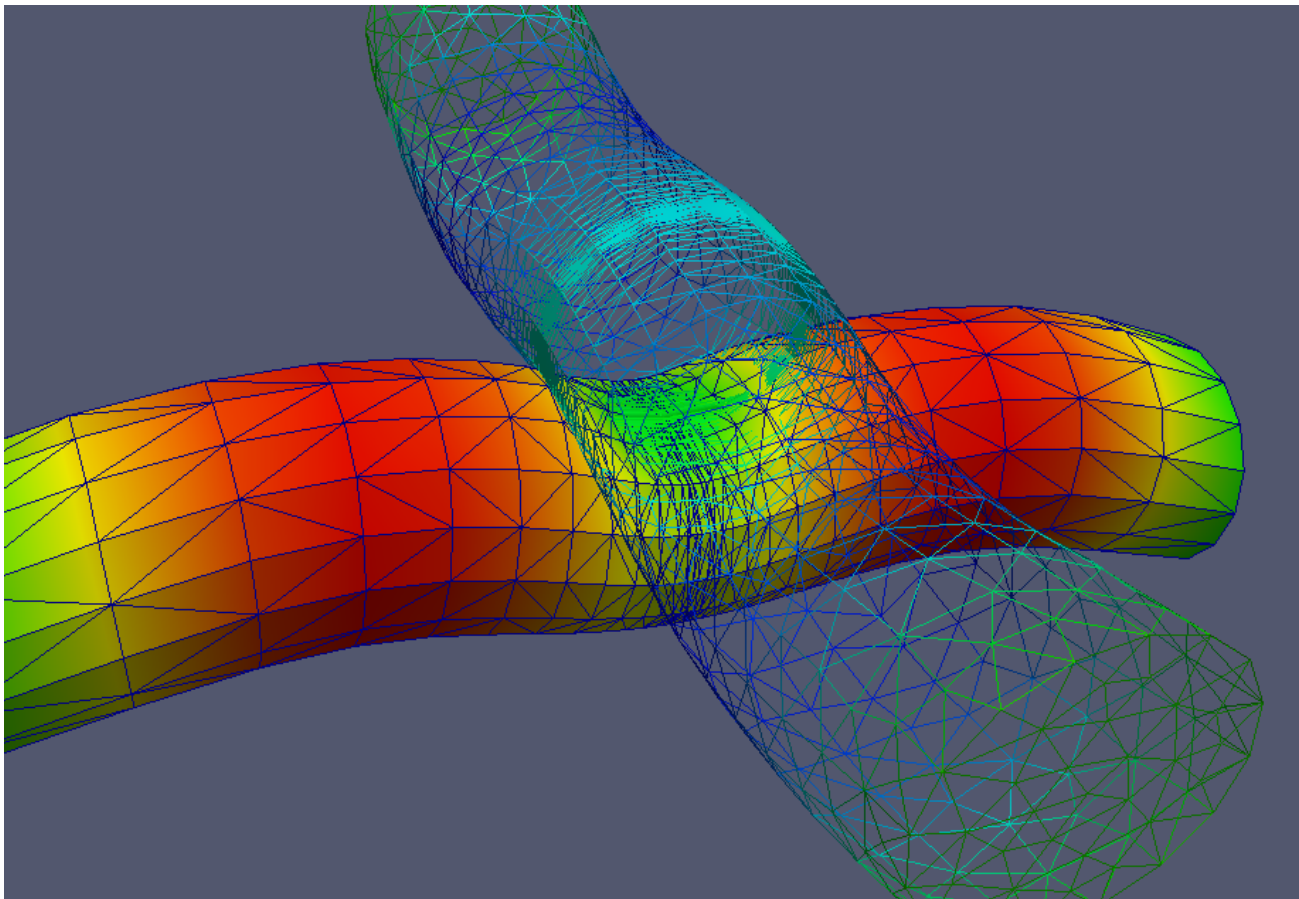
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Horizontal displacements of two touching cogs pressed together.



Forces well above the tolerance of the linear model still **seem** to provide reasonable results. Color represents vertical displacement.

- Stefan's problem
- Dam problem
- Subsonic flow
- Magnetohydrodynamics
- Plasticity...